OGE Form 278e (Updated 08/2024) (Expires 08/31/2027)
U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001)

Report Type: Nominee Report
Year (Annual Report only):
Date of Appointment:
Date of Termination:

# Executive Branch Personnel
# Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

Aesch, Mark

Administrator, Federal Transit Administration, Department of Transportation

Other Federal Government Positions Held During the Preceding 12 Months:
None

Names of Congressional Committees Considering Nomination:

- Committee on Banking, Housing, and Urban Affairs

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Aesch, Mark [electronically signed on 05/19/2026 by Aesch, Mark in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Kaleta, Judith, Certifying Official [electronically signed on 11/12/2026 by Kaleta, Judith in Integrity.gov]

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Other review conducted by
/s/ Constantine, Peter, Ethics Official [electronically signed on 11/12/2026 by Constantine, Peter in Integrity.gov]

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U.S. Office of Government Ethics Certification
/s/ McRae, Rachel, Certifying Official [electronically signed on 11/13/2026 by McRae, Rachel in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

| # | ORGANIZATION NAME | CITY, STATE | ORGANIZATION TYPE | POSITION HELD | FROM | TO |
|---|---|---|---|---|---|---|
| 1 | TransPro Consulting, LLC | Spring Hill, Florida | LLC | Manager and CEO | 5/2011 | Present |
| 2 | The Rays The Mark Foundation, Inc. | Spring Hill, Florida | Non-Profit | President and Director | 12/2021 | Present |
| 3 | The Mark R Aesch Foundation, Inc | Spring Hill, Florida | Non-Profit | Director | 1/2025 | Present |
| 4 | TGA Entertainment, LLC | Miami, Florida | Corporation | Advisory Board Member | 12/2023 | Present |
| 5 | Family Trust #1 | Spring Hill, Florida | Trust | Trustee | 9/2021 | Present |
| 6 | Family Trust #2 | Spring Hill, Florida | Trust | Trustee | 1/2014 | Present |
| 7 | Boston Consulting Group | Boston, Massachusetts | Corporation | Senior Advisor and Executive Leadership Coach | 3/2014 | 6/2025 |
| 8 | TransDASH, LLC (subsidiary of TransPro Consulting, LLC) | Spring Hill, Florida | LLC | Manager | 1/2022 | Present |
| 9 | Drive Pro International, LLC | Spring Hill, Florida | LLC | Manager | 2/2024 | Present |
| 10 | MA & JD RE Ventures, LLC | Tampa, Florida | LLC | Manager | 1/2024 | 3/2026 |
| 11 | Mark Aesch, LLC (subsidiary of TransPro Consulting, LLC) | Spring Hill, Florida | LLC | Manager and CEO | 11/2024 | Present |
| 12 | TransPro Consulting, LLC | Spring Hill, Florida | LLC | Registered Agent | 5/2011 | 3/2026 |
| 13 | TransDASH, LLC | Spring Hill, Florida | LLC | Registered Agent | 1/2022 | 3/2026 |

| # | ORGANIZATION NAME | | CITY, STATE | ORGANIZATION TYPE | POSITION HELD | FROM | TO |
|---|---|---|---|---|---|---|---|
| 14 | TransPro-Management Services, LLC | See Endnote | Spring Hill, Florida | LLC | Registered Agent | 1/2022 | 3/2026 |
| 15 | The Mark R Aesch Foundation Trust | See Endnote | Spring Hill, Florida | Trust | Trustee | 1/2014 | Present |

## 2. Filer's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 1 | Rochester Genesee Regional Transportation Authority, New York State Deferred Compensation Plan 457(b) | No | | | |
| 1.1 | Fidelity Global ex US Index Fund (FSGGX) | Yes | $15,001 - $50,000 | | None (or less than $201) |
| 1.2 | Eaton Vance Floating-Rate Advantage Fund Class I Shares (EIFAX) | Yes | $15,001 - $50,000 | | None (or less than $201) |
| 1.3 | NYSDCB Dow Jones Completion Index Unitized Account. | Yes | $15,001 - $50,000 | | None (or less than $201) |
| 1.4 | NYSDCB Equity Index Unitized Account | Yes | $100,001 - $250,000 | | None (or less than $201) |
| 1.5 | NYSDCB US Debt Index Unitized Account | Yes | $15,001 - $50,000 | | None (or less than $201) |
| 2 | TransPro Consulting, LLC 401(k) plan | No | | | |
| 2.1 | TEMPLETON GLOBAL BOND ADV (TGBAX) | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 2.2 | JOHN HANCOCK BOND I (JHBIX) | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 2.3 | FIDELITY MID CAP STOCK (FMCSX) | Yes | $15,001 - $50,000 | | None (or less than $201) |

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|---|
| 2.4 | DFA GLOBAL EQUITY I (DGEIX) | | Yes | $15,001 - $50,000 | | None (or less than $201) |
| 2.5 | VANGUARD SMALL CAP INDEX ADML (VSMAX) | | Yes | $15,001 - $50,000 | | None (or less than $201) |
| 2.6 | VANGUARD 500 INDEX ADML (VFIAX) | | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 2.7 | FIDELITY GOVERNMENT MONEY MARKET PRM (FZCXX) | | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 3 | Rochester Genesee Regional Transportation Authority, defined benefit plan (value not readily ascertainable) | | N/A | | Retirement Payments (monthly) | $3,196 |
| 4 | TransPro Consulting, LLC (Management consulting services for public sector organizations) | See Endnote | N/A | | Salary | $315,922 |
| 5 | TransPro Consulting, LLC (Management consulting services for public sector organizations) | | N/A | $5,000,001 - $25,000,000 | K-1 INCOME | $714,977 |
| 6 | TGA Entertainment, LLC (game-based learning technology company) | | N/A | | Fees: compensation for serving as a member of the Advisory Board. | $10,000 |
| 7 | TGA Entertainment, LLC (game-based learning technology company), ownership interest | | N/A | $50,001 - $100,000 | | None (or less than $201) |
| 8 | TransPro-Executive Placement, LLC (Executive staff recruitment and placement services) | | N/A | $500,001 - $1,000,000 | | None (or less than $201) |
| 9 | TransPro-Management Services, LLC (Management services for group) | | N/A | $50,001 - $100,000 | | None (or less than $201) |

## 3. Filer's Employment Agreements and Arrangements

| # | EMPLOYER OR PARTY | CITY, STATE | STATUS AND TERMS | DATE |
|---|---|---|---|---|
| 1 | TransPro Consulting, LLC 401(k) Plan | Spring Hill, Florida | I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation. | 5/2012 |
| 2 | Rochester Genesee Regional Transportation Authority 457(b) | Rochester, New York | I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions. | 10/2012 |
| 3 | Rochester Genesee Regional Transportation Authority/New York State | Rochester, New York | I will continue to participate in this defined benefit plan. | 5/1997 |
| 4 | TGA Entertainment, LLC | Miami, Florida | For my services as an advisory board member, I receive a fixed annual fee of $10,000. Pursuant to my advisory board agreement, if my advisory board service terminates prior to the end of a calendar year, I will receive a pro-rated portion of the $10,000 board fee that corresponds to the amount of time I provided services during that calendar year. | 1/2024 |

| # | EMPLOYER OR PARTY | CITY, STATE | STATUS AND TERMS | DATE |
|---|---|---|---|---|
| 5 | TransPro Consulting, LLC | Spring Hill, Florida | Upon confirmation, I will resign from my positions with TransPro Consulting, LLC (“TransPro Consulting”), and management of the company will be transferred to a manager or corporate director. I will continue to have a financial interest in TransPro Consulting but I will not provide services material to the production of income. All of my outstanding client fees will be fixed before I enter government service.<br>As soon as practicable but not later than 90 days after my confirmation, TransPro Consulting will complete a sale of 100% of its ownership interest in TransDASH, LLC (“TransDASH”) to Nexgile, Inc. (“Nexgile”). The purchase price has been fixed, subject to a customary post-closing working capital adjustment to be calculated in accordance with generally accepted accounting principles (GAAP). Proceeds from the sale of TransDASH will be distributed to me from TransPro Consulting. For three months following close of the sale, TransPro Consulting also will provide transition services to Nexgile pursuant to a standard transition services agreement. Nexgile will pay TransPro Consulting a fixed monthly rate that will be set at fair market value for the transition services. | 5/2026 |
| 6 | TransDASH, LLC | Spring Hill, Florida | Upon confirmation, I will resign from my position with TransDASH, LLC. All of my outstanding client fees will be fixed before I enter government service. | 5/2026 |
| 7 | Mark Aesch, LLC | Spring Hill, Florida | Upon confirmation, I will resign from my positions with Mark Aesch, LLC, and the company will change its name to remove my name. Management of the company will be transferred to a manager or corporate director. I will continue to have a financial interest in this entity, but I will not provide services material to the production of income. All of my outstanding client fees will be fixed before I enter government service. | 5/2026 |
| 8 | Drive Pro International, LLC | Spring Hill, Florida | Upon confirmation, I will resign from my position with Drive Pro International, LLC, and management of the company will be transferred to a manager or corporate director. I will continue to have a financial interest in this entity, but I will not provide services material to the production of income. | 5/2026 |

| # | EMPLOYER OR PARTY | CITY, STATE | STATUS AND TERMS | DATE |
|---|---|---|---|---|
| 9 | TransPro-Executive Placement, LLC | Spring Hill, Florida | I am the sole owner of TransPro-Executive Placement, LLC.<br>TransPro-Executive Placement, LLC is managed by another individual. I will continue to have a financial interest in this entity, but I will not provide services material to the production of income. | 5/2026 |

## 4. Filer's Sources of Compensation Exceeding $5,000 in a Year

| # | SOURCE NAME | CITY, STATE | BRIEF DESCRIPTION OF DUTIES |
|---|---|---|---|
| 1 | TransPro Consulting, LLC | Spring Hill, Florida | Services as CEO and Owner and management services for TransDASH, LLC and Mark Aesch, LLC |
| 2 | TGA Entertainment, LLC | Miami, Florida | Services as Advisory Board Member (client of Mark Aesch, LLC) |
| 3 | City of Cleveland, Department of Port Control | Cleveland, Ohio | Consulting Support (client of TransPro Consulting, LLC) |
| 4 | Long Beach Transit | Long Beach, California | Consulting Support (client of TransDASH, LLC) |
| 5 | City of Memphis - Mayor's Office | Memphis, Tennessee | Consulting Support (client of TransPro Consulting, LLC) |
| 6 | Regional Transportation District - Denver | Denver, Colorado | Consulting Support (client of TransDASH, LLC) |
| 7 | Charlotte Area Transit System | Charlotte, North Carolina | Consulting Support (client of TransDASH, LLC) |
| 8 | Capital District Transportation Authority | Albany, New York | Consulting Support (client of TransDASH, LLC) |
| 9 | Central Ohio Transit Authority (COTA) | Columbus, Ohio | Consulting Support (client of TransPro Consulting, LLC) |
| 10 | Greater Cleveland Regional Transit Authority | Cleveland, Ohio | Consulting Support (client of TransDASH, LLC) |
| 11 | Grupo Mecanica Del Vuelo Sistemas, S.A.U. | Madrid, Outside U.S. | Consulting Support (client of Mark Aesch, LLC) |

| # | SOURCE NAME | CITY, STATE | BRIEF DESCRIPTION OF DUTIES |
|---|---|---|---|
| 12 | Go Triangle | Durham, North Carolina | Consulting Support (client of TransPro Consulting, LLC) |
| 13 | Lane Transit District | Springfield, Oregon | Consulting Support (client of TransDASH, LLC) |
| 14 | Madison County, New York - County Administrator's Office | Wampsville, New York | Consulting Support (client of TransPro Consulting, LLC) |
| 15 | Mountain Line | Flagstaff, Arizona | Consulting Support (client of TransPro Consulting, LLC) |
| 16 | New Castle County, Delaware - County Executive's Office | New Castle, Delaware | Consulting Support (client of TransPro Consulting, LLC) |
| 17 | Toledo Area Regional Transit Authority | Toledo, Ohio | Consulting Support (client of TransPro Consulting, LLC) |
| 18 | The Boston Consulting Group, Inc | Boston , Massachusetts | Consulting Support (client of Mark Aesch, LLC) |
| 19 | TriMet | Portland, Oregon | Consulting Support (client of TransDASH, LLC) |
| 20 | TruAccess Networks, LLC | Providence, Rhode Island | Consulting Support (client of TransPro Consulting, LLC) |
| 21 | Texas Department of Transportation | Austin, Texas | Consulting Support (client of TransPro Consulting, LLC) |
| 22 | UZURV (transportation service in Richmond, VA) | Richmond, Virginia | Consulting Support (client of TransPro Consulting, LLC) |
| 23 | VIA Metropolitan Transit | San Antonio, Texas | Consulting Support (client of TransDASH, LLC) |
| 24 | Westchester County Department of Public Works and Transportation | White Plains, New York | Consulting Support (client of TransPro Consulting, LLC) |
| 25 | C-TRAN | Vancouver, Washington | Consulting Support (client of TransPro Consulting, LLC) |
| 26 | United Way of Greater Capital Region | Albany, New York | Consulting Support (client of TransPro Consulting, LLC) |
| 27 | Salem Area Mass Transit District -Cherriots | Salem, Oregon | Consulting Support (client of TransDASH, LLC) |

| # | SOURCE NAME | CITY, STATE | BRIEF DESCRIPTION OF DUTIES |
|---|---|---|---|
| 28 | Scarlet Medical Transportation | Boston, Massachusetts | Consulting Support (client of the Boston Consulting Group Inc.) |
| 29 | Total Energies | Paris, Outside U.S. | Consulting Support (client of the Boston Consulting Group Inc.) |
| 30 | DIF INFRA 7 US HOLDCO 7 LLC | New York, New York | Consulting Support (client of Mark Aesch, LLC) |
| 31 | TransDASH, LLC | Spring Hill, Florida | Services as manager (which included providing consulting services) |
| 32 | Mark Aesch, LLC | Spring Hill, Florida | Services as manager and CEO (which included providing consulting services) |

## 5. Spouse's Employment Assets & Income and Retirement Accounts

None

## 6. Other Assets and Income

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 1 | U.S. bank #1 (cash) | N/A | $15,001 - $50,000 | | None (or less than $201) |
| 2 | BankFlorida Bancorp, Inc. (BKFL) (holding company for BankFlorida) | N/A | $50,001 - $100,000 | | None (or less than $201) |
| 3 | Pequod Principal Solutions LLC (consulting and management services for Bask, Inc. and parent of Bask, Inc.) | N/A | $50,001 - $100,000 | | None (or less than $201) |
| 4 | Loan to Bask, Inc. (Adult use licensed cannabis dispensary) | N/A | $50,001 - $100,000 | Interest | $15,001 - $50,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|---|---|---|---|---|
| 5 | Heritage Growth Partners LLC (family investment office) | N/A | $50,001 - $100,000 | | None (or less than $201) |
| 6 | Residential real estate, Spring Hill, FL | N/A | None (or less than $1,001) | Capital Gains | $100,001 - $1,000,000 |
| 7 | VKH Series A LLC (Investment Company) | Yes | $50,001 - $100,000 | | None (or less than $201) |
| 8 | SPY State Street SPDR S&P 500 ETF Units | Yes | $1,001 - $15,000 | | None (or less than $201) |
| 9 | PNC Bank Escrow Account (cash) (escrowed funds from homeowners (fire) insurance) | N/A | None (or less than $1,001) | Interest | $201 - $1,000 |

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

| # | CREDITOR NAME | | TYPE | AMOUNT | YEAR INCURRED | RATE | TERM |
|---|---|---|---|---|---|---|---|
| 1 | Fifth Third Bank | | Mortgage on Personal Residence | $1,000,001 - $5,000,000 | 2020 | 2.75% | 30 years |
| 2 | PNC Bank | | Mortgage on Personal Residence | $250,001 - $500,000 | 2020 | 2.87% | 15 years |
| 3 | Ever Bank (HELOC) | See Endnote | Mortgage on Personal Residence | $1,000,001 - $5,000,000 | 2020 | 7.25% | Revolving |

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

| PART | # | ENDNOTE |
|---|---|---|
| 1. | 14 | This company was dissolved subsequent to filing this report. |
| 1. | 15 | This entity was legally dissolved subsequent to filing this report. |
| 2. | 4 | In addition to providing management consulting services, TransPro Consulting, LLC owns the following two subsidiaries: TransDASH, LLC and Mark Aesch, LLC. |
| 8. | 3 | After the draw period ends, the repayment period for this HELOC ends Oct. 2050 |

# Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C.§ 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).